



Carnegie Mellon University  
School of Computer Science

# 11-711 Advanced NLP

## Executable Semantic Parsing, and Beyond

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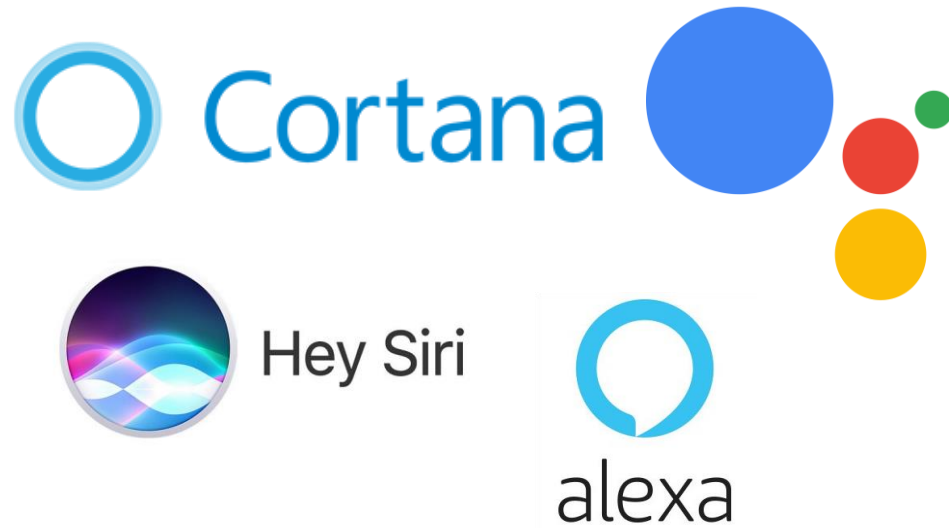
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Language  
Technologies  
Institute

[Some contents are adapted from talks by Graham Neubig and Pengcheng Yin]




# Semantic Parsers: Natural Language Interfaces to Computers

A screenshot of a Python IDE window titled "Untitled-1". The window shows a list of Python code lines. Line 1: `my_list = [3, 5, 1]`. Line 2: `sort in descending order →`. Line 3: `sorted(my_list, reverse=True)`, which is highlighted in green. Line 4: blank. Line 5: blank. The IDE interface includes a toolbar at the bottom with icons for a file, a refresh button, and the text "Python 3.6.5 64-bit".

## Virtual Assistants

-  *Set an alarm at 7 AM*
-  *Remind me for the meeting at 5pm*
-  *Play Jay Chou's latest album*

## Natural Language Programming

-  *Sort my\_list in descending order*
-  *Copy my\_file to home folder*
-  *Dump my\_dict as a csv file output.csv*

# The Semantic Parsing Task

Parsing natural language utterances into machine-executable meaning representations

## Natural Language Utterance



*Show me flights from Pittsburgh to Seattle*



## Meaning Representation



```
lambda $0 e (and (flight $0)
                  (from $0 pittsburgh:ci)
                  (to $0 seattle:ci))
```

# Meaning Representations have Strong Structures

## Semantic Parsing

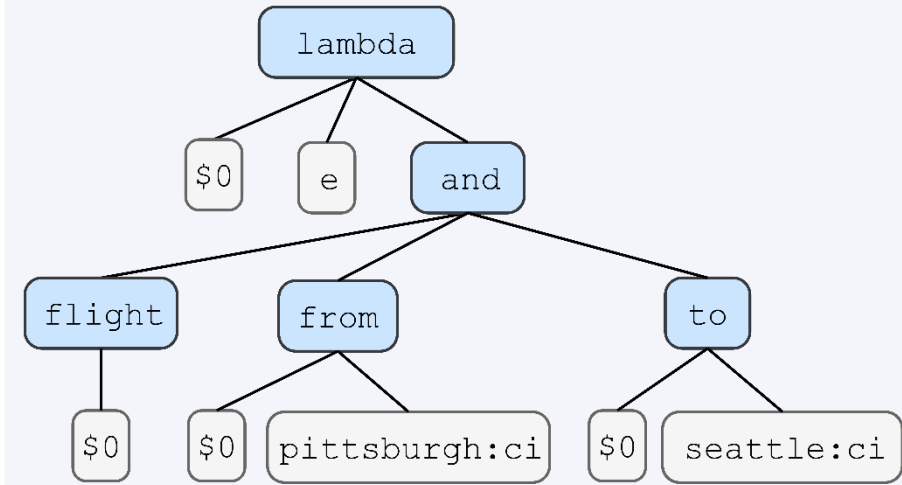


*Show me flights from Pittsburgh to Seattle*



```
lambda $0 e (and
  (flight $0)
  (from $0 Pittsburgh:ci)
  (to $0 Seattle:ci)
)
```

lambda-calculus logical form



Tree-structured Representation


# Machine-executable Meaning Representations

Translating a user's **natural language utterances** (e.g., queries) into machine-executable **formal meaning representations** (e.g., logical form, SQL, Python code)

## Domain-Specific, Task-Oriented Languages (DSLs)




 *Show me flights from Pittsburgh to Seattle*

 `lambda $0 e (and (flight $0)  
 (from $0 Pittsburgh:ci)  
 (to $0 Seattle:ci))`

lambda-calculus logical form

## General-Purpose Programming Languages



 *Sort my\_list in descending order*

 `sorted(my_list, reverse=True)`

Python code generation

# Clarification about Meaning Representations (MRs)

**Machine-executable MRs** (our focus today) executable programs to accomplish a task

**MRs for Semantic Annotation** capture the semantics of natural language sentences

## Machine-executable Meaning Representations



*Show me flights from Pittsburgh to Seattle*



```
lambda $0 e (and (flight $0)
  (from $0 pittsburgh:ci)
  (to $0 seattle:ci))
```

Lambda Calculus Logical Form

Lambda Calculus

Python, SQL, ...

## Meaning Representations For Semantic Annotation



*The boy wants to go*



```
(want-01
  :arg0 (b / boy)
  :arg1 (g / go-01))
```

Abstract Meaning Representation (AMR)

Abstract Meaning Representation (AMR),

Combinatory Categorical Grammar (CCG)

# Workflow of a Semantic Parser

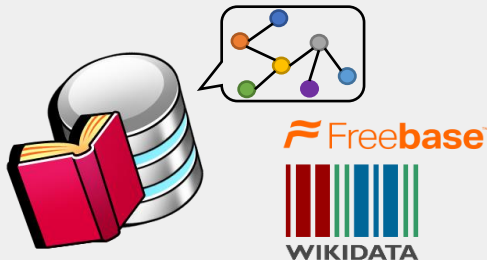
## User's Natural Language Query

*Show me flights from Pittsburgh to Seattle*

## Parsing to Meaning Representation

```
lambda $0 e (and (flight $0)
  (from $0 pittsburgh:ci)
  (to $0 seattle:ci))
```

## Execute Programs against KBs



## Execution Results (Answer)

1. Alaska Air 119
2. American 3544 -> Alaska 1101
3. ...

# Semantic Parsing Datasets

## Domain-Specific, Task-Oriented Languages (DSLs)



*Show me flights from Pittsburgh to Seattle*



```
lambda $0 e (and (flight $0)
  (from $0 Pittsburgh:ci)
  (to $0 Seattle:ci))
```

lambda-calculus logical form

GeoQuery / ATIS / JOBS

WikiSQL / Spider

IFTTT

## General-Purpose Programming Languages



*Sort my\_list in descending order*



```
sorted(my_list, reverse=True)
```

Python code generation

Django

HearthStone

CONCODE

CoNaLa

JulCe



# GEO Query, ATIS, JOBS

- **GEO Query** 880 queries about US geographical information
- **ATIS** 5410 queries about flight booking and airport transportation
- **Jobs** 640 queries to a job database

## GEO Query



*which state has the most rivers running through it?*



```
argmax $0
  (state:t $0)
  (count $1 (and
             (river:t $1)
             (loc:t $1 $0)))
```

Lambda Calculus Logical Form

## ATIS



*Show me flights from Pittsburgh to Seattle*



```
lambda $0 e
  (and (flight $0)
        (from $0 pittsburgh:ci)
        (to $0 seattle:ci))
```

Lambda Calculus Logical Form

## JOBS



*what Microsoft jobs do not require a bscs?*



```
answer(
  company(J,'microsoft'),
  job(J),
  not((req deg(J,'bscs'))))
```

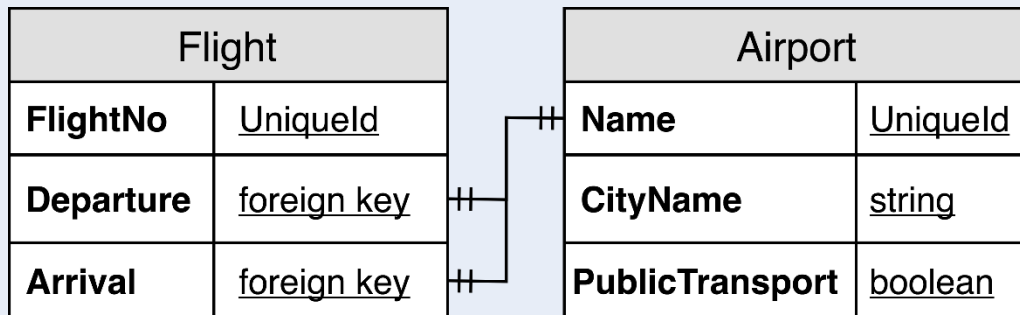
Prolog-style Program

# Text-to-SQL Tasks

## Natural Language Questions with Database Schema

### Input Utterance

*Show me flights from Pittsburgh to Seattle*

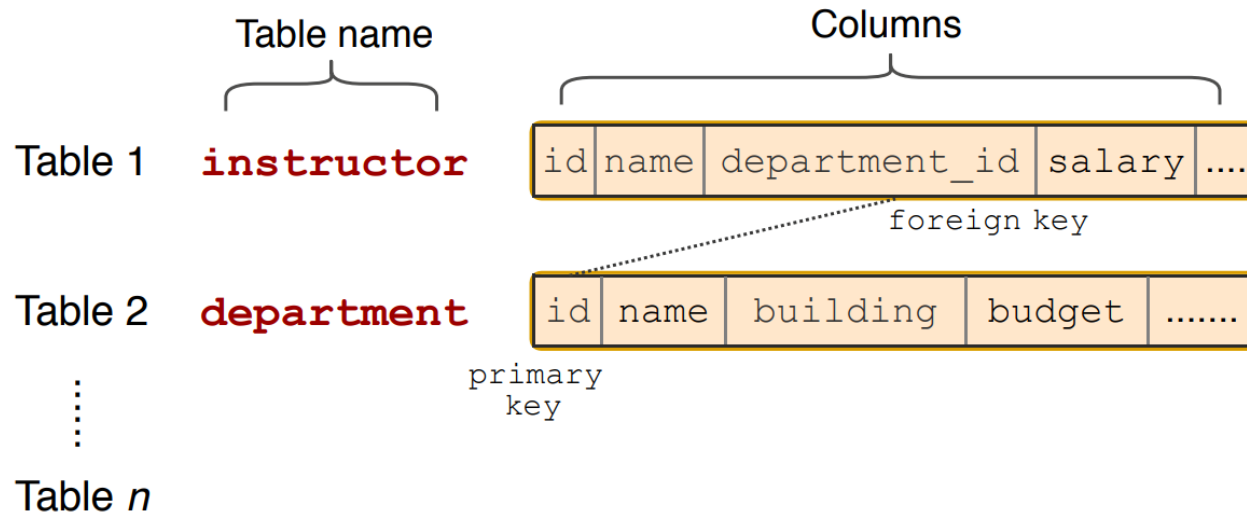


## SQL Query

```
SELECT Flight.FlightNo
FROM Flight
JOIN Airport as DepAirport
ON
    Flight.Departure == DepAirport.Name
JOIN Airport as ArvAirport
ON
    Flight.Arrival == ArvAirport.Name
WHERE
    DepAirport.CityName == Pittsburgh
AND
    ArvAirport.CityName == Seattle
```

# Spider

Annotators check database schema (e.g., database: college)



- Examples from 200 databases
- Target SQL queries involve joining fields over multiple tables
- Non-trivial Compositionality
  - Nested queries
  - Set Union
  - ...

Annotators create:

**Complex question** What are the name and budget of the departments with average instructor salary greater than the overall average?

**Complex SQL**

```
SELECT T2.name, T2.budget
FROM instructor as T1 JOIN department as
T2 ON T1.department_id = T2.id
GROUP BY T1.department_id
HAVING avg(T1.salary) >
  (SELECT avg(salary) FROM instructor)
```

<https://yale-lily.github.io>

[Yu et al., 2018]

# Semantic Parsing Datasets

## Domain-Specific, Task-Oriented Languages (DSLs)



*Show me flights from Pittsburgh to Berkeley*



```
lambda $0 e (and (flight $0)
  (from $0 Pittsburgh:ci)
  (to $0 Berkeley:ci))
```

lambda-calculus logical form

GeoQuery / ATIS / JOBS

WikiSQL / Spider

IFTTT

## General-Purpose Programming Languages



*Sort my\_list in descending order*



```
sorted(my_list, reverse=True)
```

Python code generation

Django

HearthStone

CONCODE

CoNaLa

# The CoNALA Code Generation Dataset



*Get a list of words `words` of a file 'myfile'*



```
words = open('myfile').read().split()
```



*Copy the content of file 'file.txt' to file 'file2.txt'*



```
shutil.copy('file.txt', 'file2.txt')
```



*Check if all elements in list `mylist` are the same*



```
len(set(mylist)) == 1
```



*Create a key `key` if it does not exist in dict `dic` and append element `value` to value*



```
dic.setdefault(key, []).append(value)
```

- 2,379 training and 500 test examples
- Natural Language queries collected from StackOverflow
- Manually annotated, high quality natural language queries
- Code is highly expressive and compositional

# Supervised Learning of Semantic Parsers

## User's Natural Language Query

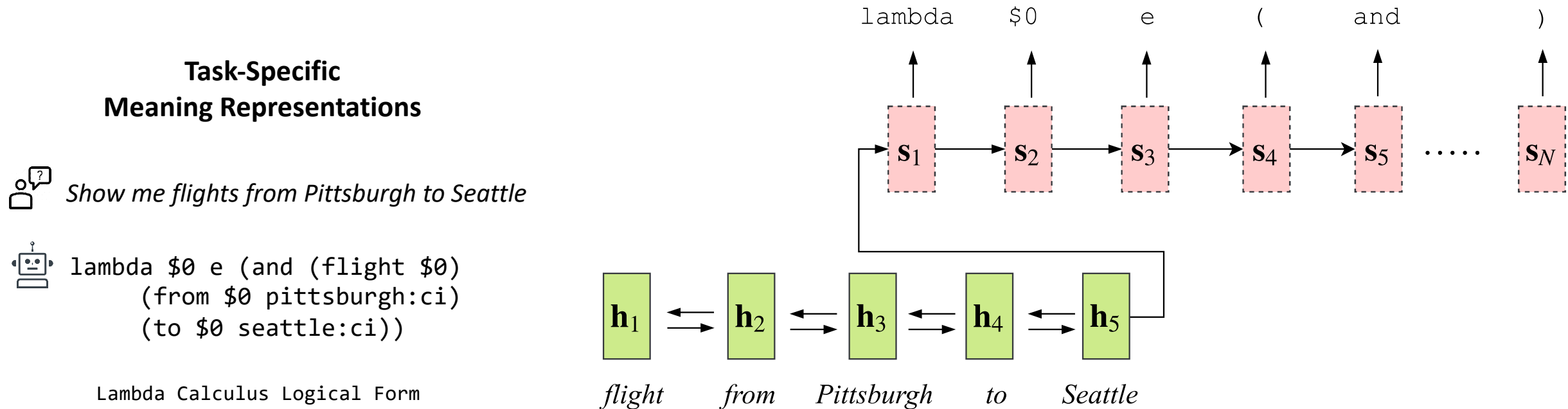
*Show me flights from Pittsburgh to Seattle*

## Parsing to Meaning Representation

```
lambda $0 e (and (flight $0)
  (from $0 pittsburgh:ci)
  (to $0 seattle:ci))
```

Train a neural semantic parser with source natural language utterances and target programs

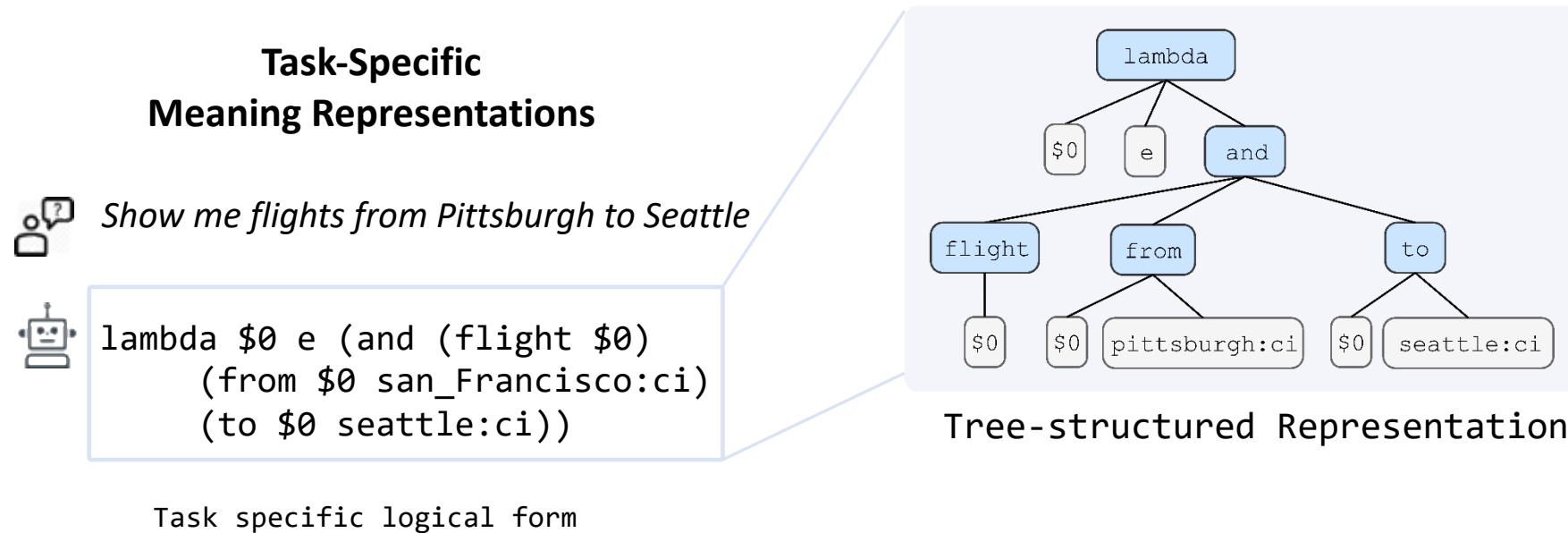
# Semantic Parsing as Sequence-to-Sequence Transduction



- Treat the target meaning representation as a sequence of surface tokens
- Reduce the (structured prediction) task as another sequence-to-sequence learning problem

# Issues with Predicting Linearized Programs

- **Meaning Representations** (e.g., a database query) have strong underlying structures!
- **Issue** Using vanilla seq2seq models ignore the rich structures of meaning representations, and could generate invalid outputs that are not trees





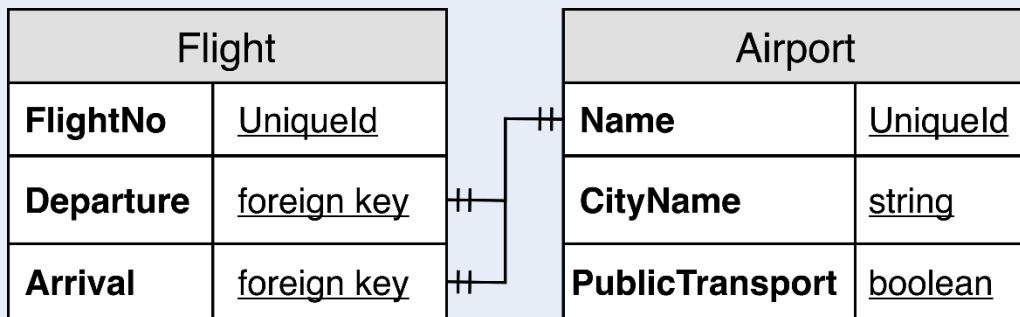
# Core Research Question for Better Models

How to add inductive biases to networks a to better capture the structure of programs?

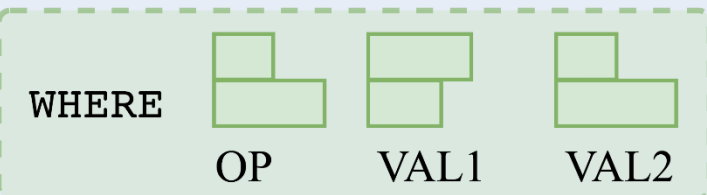
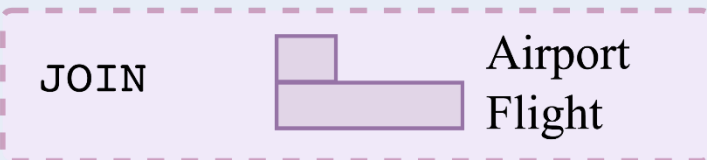
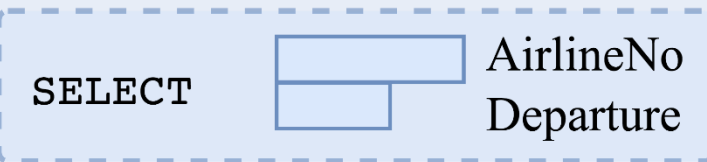
## Encode Utterance and In-Domain Knowledge Schema

Input Utterance

*Show me flights from Pittsburgh to Berkeley*

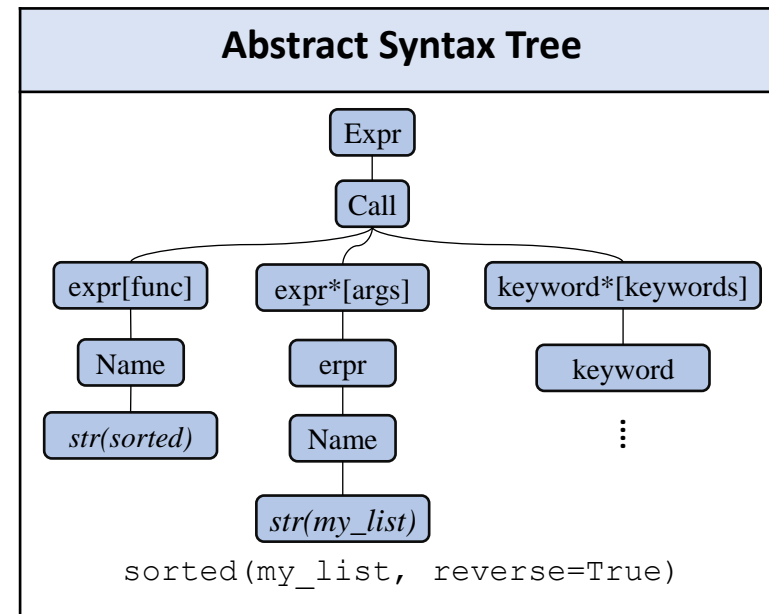
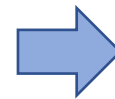
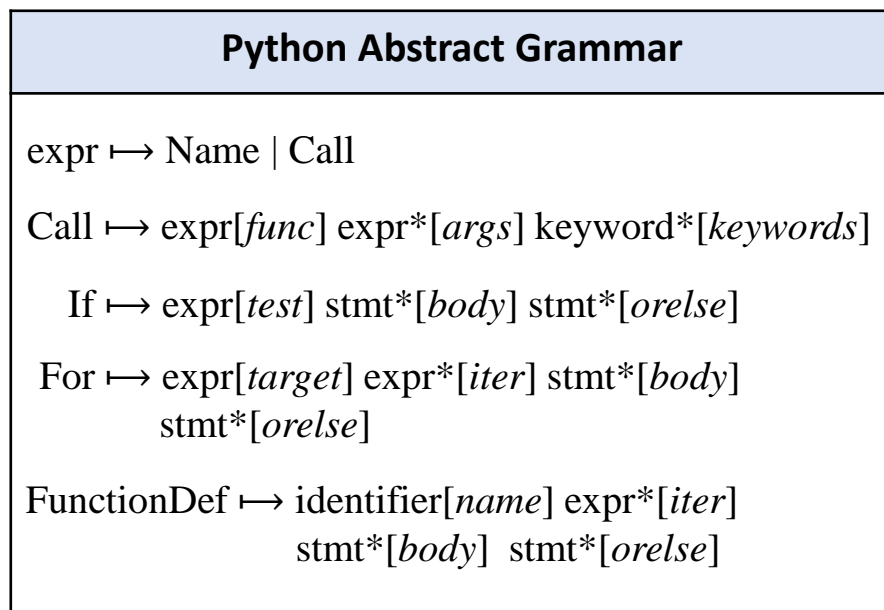


## Predict Programs Following Task-Specific Program Structures



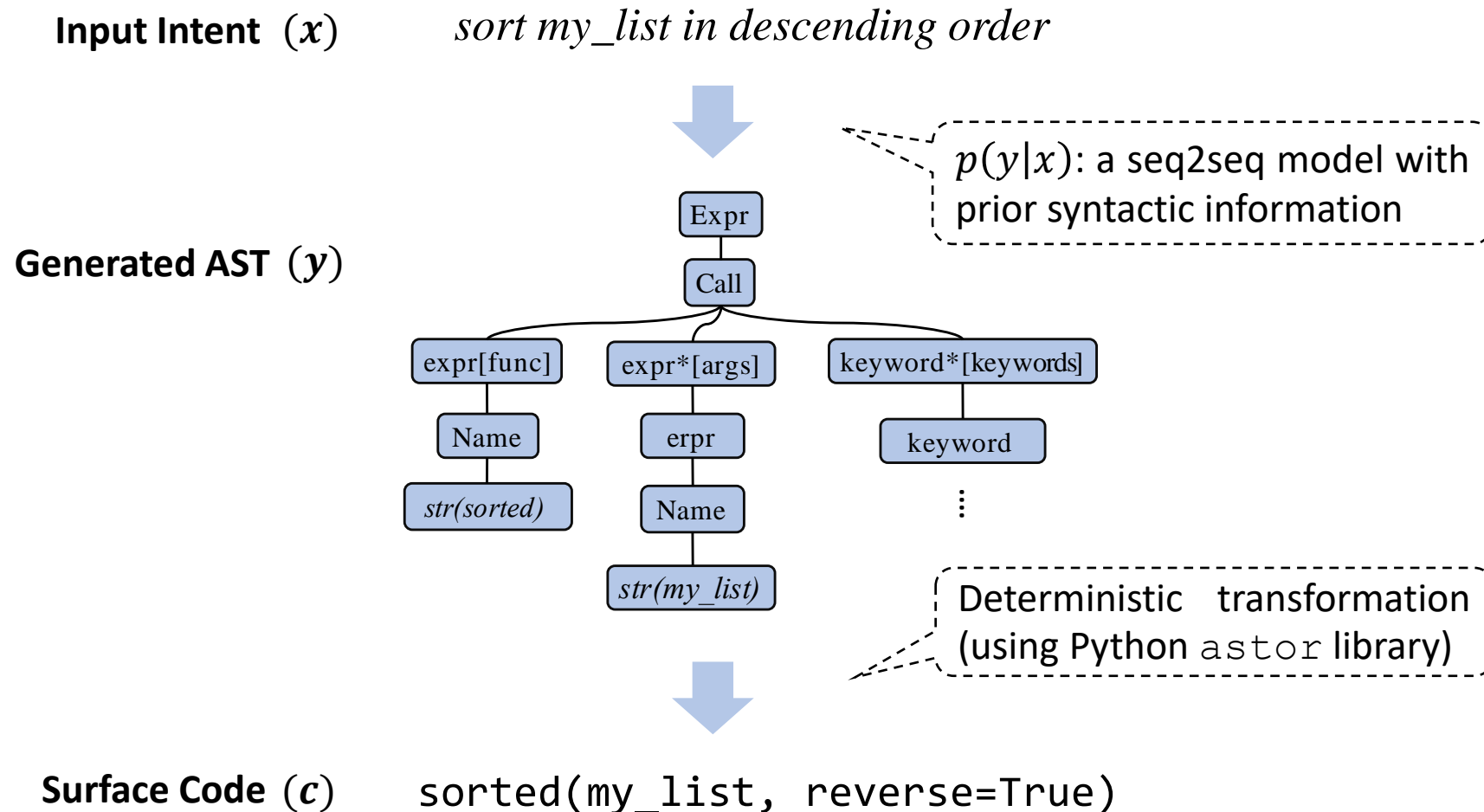
# Grammar/Syntax-driven Semantic Parsing

- Previously introduced methods could generate tree-structured representations but cannot guarantee they are grammatically correct.
- Meaning (e.g., Python) have strong underlying grammar/syntax
- How can we explicitly leverage the grammar of programs for better generation?



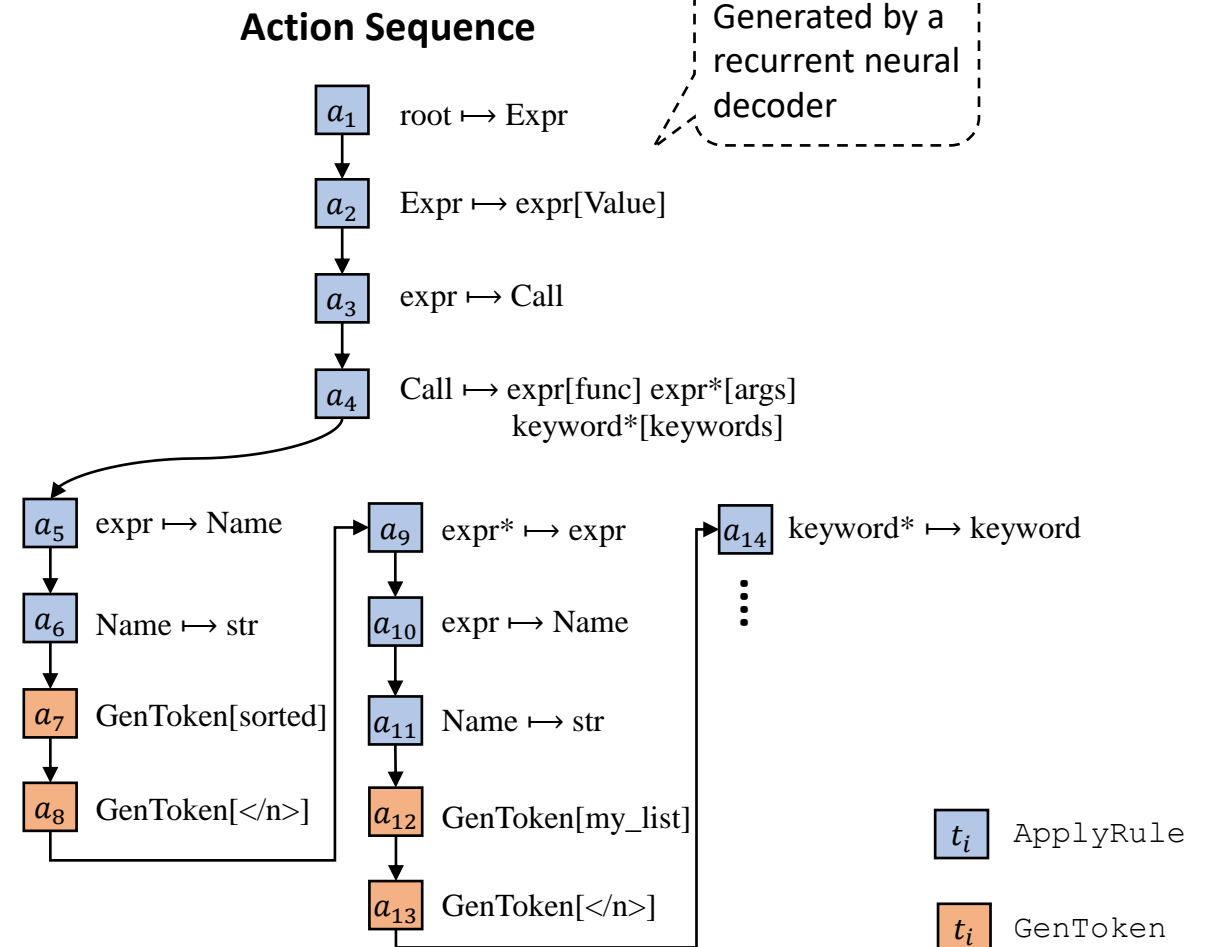
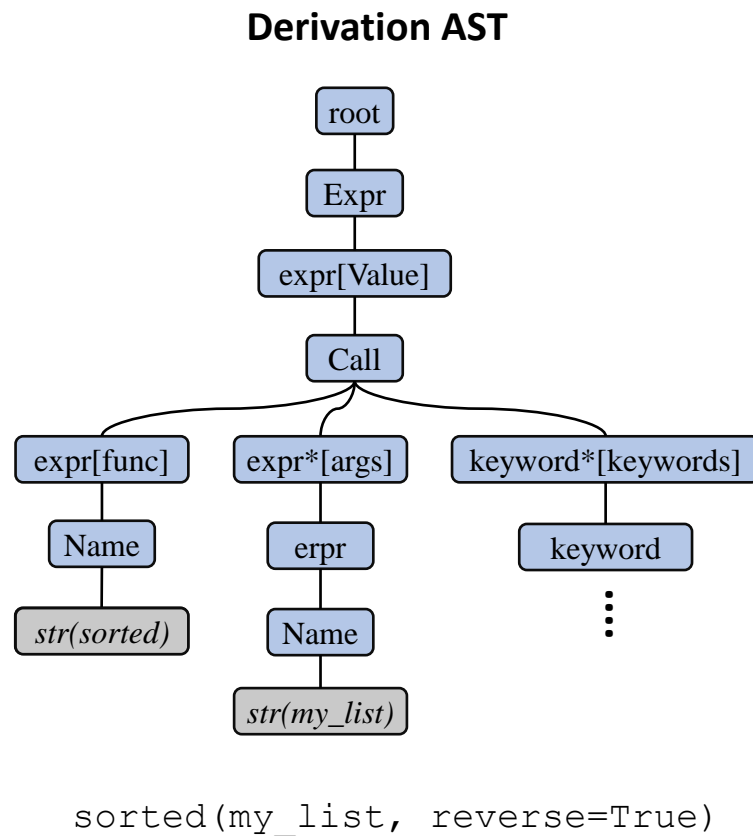
# Grammar/Syntax-driven Semantic Parsing

- **Key Idea** use the grammar of the target meaning representation (Python AST) as prior symbolic knowledge in a neural sequence-to-sequence model



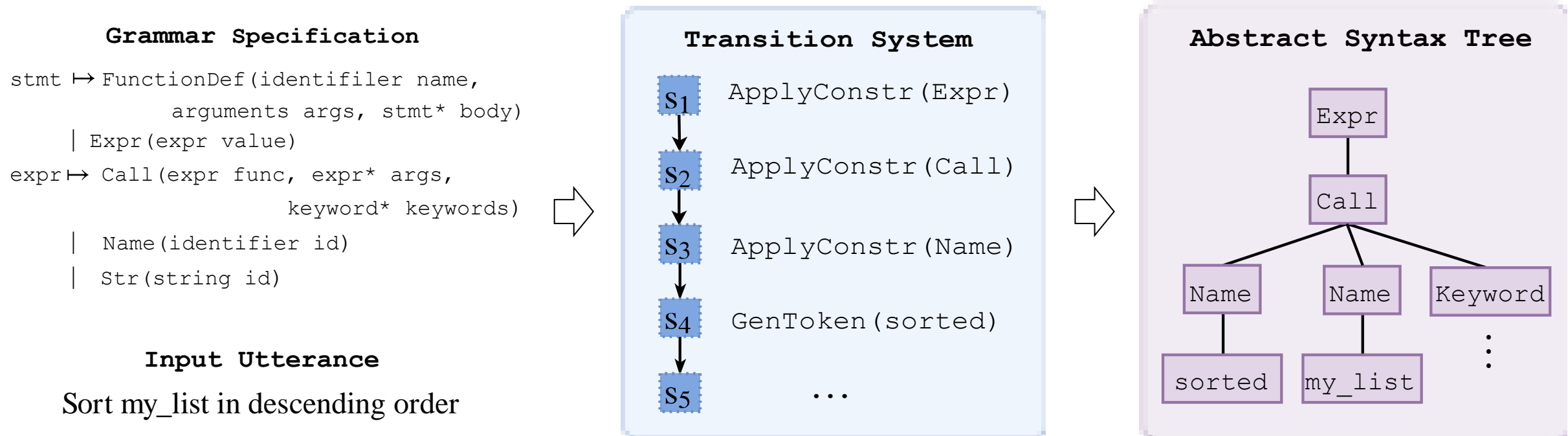
# Grammar/Syntax-driven Semantic Parsing

- Factorize the generation story of an AST into sequential application of *actions*  $\{a_t\}$ :
  - ApplyRule[ $r$ ]: apply a production rule  $r$  to the frontier node in the derivation
  - GenToken[ $v$ ]: append a token  $v$  (e.g., variable names, string literals) to a terminal



# TranX: Transition-based Abstract SyntaX Parser

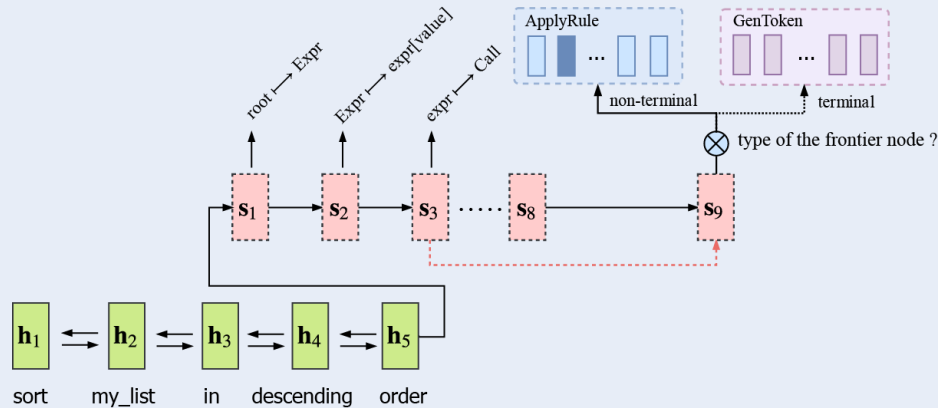
- Convenient interface to specify task-dependent grammar in plain text
- Customizable conversion from abstract syntax trees to domain-specific programs
- Built-in support for many languages: Python, SQL, Lambda Calculus, Prolog...



[github.com/pcyin/tranX](https://github.com/pcyin/tranX)

# Supervised Learning: the Data Inefficiency Issue

## Supervised Parsers are Data Hungry



Purely supervised neural semantic parsing models require large amounts of training data 🍴

## Data Collection is Costly

*Copy the content of file 'file.txt' to file 'file2.txt'*  
`shutil.copy('file.txt', 'file2.txt')`

*Get a list of words `words` of a file 'myfile'*  
`words = open('myfile').read().split()`

*Check if all elements in list `mylist` are the same*  
`len(set(mylist)) == 1`

Collecting parallel training data costs 💰 and 🧠

\*Examples from [conala-corpus.github.io](https://conala-corpus.github.io) [Yin et al., 2018]  
 1700 USD for <3K Python code generation examples

# Weakly-supervised Learning of Semantic Parsers

## User's Natural Language Query

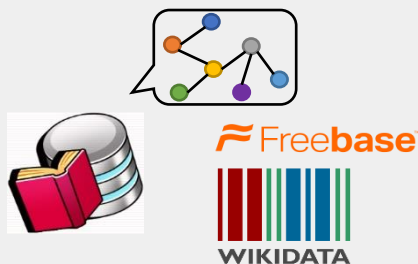
*Show me flights from Pittsburgh to Seattle*

## Parsing to Meaning Representation

```
lambda $0 e (and (flight $0)
  (from $0 pittsburgh:ci)
  (to $0 seattle:ci))
```

As unobserved  
latent variable

## Query Execution



## Execution Results (Answer)

1. AS 119
2. AA 3544 -> AS 1101
3. ...

Weak supervision signal

Train a semantic parser using natural language query and the execution results  
(a.k.a. Semantic Parsing **with Execution**)

# Weakly-supervised Learning of Semantic Parsers

Incorporate external resources, unlabeled data, noisy data, etc. (*a.k.a Data Augmentation*)

Software library documentations

```
class collections.deque([iterable[, maxlen]])
```

Returns a new deque object initialized ...

**append(x)**

Add *x* to the right side of the deque.

class methods

**rotate(n=1)**

Rotate the deque *n* steps to the right. ...

```
heapq.nlargest(n, iterable, key=None) top-level functions
```

Return a list with the *n* largest elements from ...

pre-process

```
d=collections.deque(iterable) d=collections.deque(iterable,maxlen)
```

```
d.append(x)
```

```
d.rotate() d.rotate(n=1)
```

```
heapq.nlargest(n,iterable) heapq.nlargest(n,iterable,key=None)
```

Stack Overflow Q&A

Removing duplicates in lists ← Intent

406 ▲ Pretty much I need to write a program to check if a list has any duplicates and if it does it removes them and returns a new list with the items that weren't duplicated/removed. This is what I have but to be honest I do not know what to do.

```
def remove_duplicates():
    t = ['a', 'b', 'c', 'd']
    t2 = ['a', 'c', 'd']
    for t in t2:
        t.append(t.remove())
    return t
```

Question

780 ▲ The common approach to get a unique collection of items is to use a `set`. Sets are *unordered* collections of *distinct* objects. To create a set from any iterable, you can simply pass it to the built-in `set()` function. If you later need a real list again, you can similarly pass the set to the `list()` function.

✓ The following example should cover whatever you are trying to do:

```
>>> t = [1, 2, 3, 1, 2, 5, 6, 7, 8] ← Context 1
>>> t
[1, 2, 3, 1, 2, 5, 6, 7, 8]
>>> list(set(t)) ← Snippet 1
[1, 2, 3, 5, 6, 7, 8]
>>> s = [1, 2, 3]
>>> list(set(t) - set(s))
[8, 5, 6, 7]
```

Answers

As you can see from the example result, the original order is not maintained. As mentioned above, sets themselves are unordered collections, so the order is lost. When converting a set back to a list, an arbitrary order is created.

222 ▲ FWIW, the new (v2.7) Python way for removing duplicates from an iterable while keeping it in the original order is:

```
>>> from collections import OrderedDict ← Context 2
>>> list(OrderedDict.fromkeys('abracadabra')) ← Snippet 2
['a', 'b', 'r', 'c', 'd']
```



# Weakly-supervised Learning of Semantic Parsers

Data augmentation may suffer from distribution mismatch!

```
class collections.deque([iterable[, maxlen]])
Returns a new deque object initialized ...
append(x)
Add x to the right side of the deque.      class methods
rotate(n=1)
Rotate the deque n steps to the right. ...

heapq.nlargest(n, iterable, key=None)  top-level functions
Return a list with the n largest elements from ...
```


pre-process

```
d=collections.deque(iterable)  d=collections.deque(iterable,maxlen)
    d.append(x)
    d.rotate()  d.rotate(n=1)
heapq.nlargest(n,iterable)  heapq.nlargest(n,iterable,key=None)
```

External Knowledge Resources:

Parsed pairs from API docs

Clean but *uniformly* distributed

Mined pairs from  stackoverflow

Noisy but *real-use* distributed

Human Curated Data:

Annotated pairs <code, NL>

Re-sampling w/  
Real Distribution

Real Distribution  
Estimation

Text-to-Code  
Gen. Model

Pre-train

Fine-tune



# Data is important...

OpenAI Codex uses no labeled data, and deals with code linearly without explicitly modeling structures (Transformer-based language models)



```
1 #!/usr/bin/env ts-node
2
3 import { fetch } from "fetch-h2";
4
5 // Determine whether the sentiment of text is positive
6 // Use a web service
7 async function isPositive(text: string): Promise<boolean> {
8   const response = await fetch(`http://text-processing.com/api/sentiment/`, {
9     method: "POST",
10    body: `text=${text}`,
11    headers: {
12      "Content-Type": "application/x-www-form-urlencoded",
13    },
14  });
15  const json = await response.json();
16  return json.label === "pos";
17 }
```



 GitHub Copilot

# Data is important...

 OpenAI Codex

```
import datetime

def parse_expenses(expenses_string):
    """Parse the list of expenses and return the list of triples (date, value, currency).
    Ignore lines starting with #.
    Parse the date using datetime.
    Example expenses_string:
        2016-01-02 -34.01 USD
        2016-01-03 2.59 DKK
        2016-01-03 -2.72 EUR
    """
    expenses = []
    for line in expenses_string.splitlines():
        if line.startswith("#"):
            continue
        date, value, currency = line.split(" ")
        expenses.append((datetime.datetime.strptime(date, "%Y-%m-%d"),
                        float(value),
                        currency))
    return expenses
```

 Copilot

 GitHub Copilot

OpenAI Codex

# Data is important...

```
package main

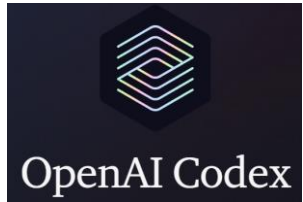
type CategorySummary struct {
    Title      string
    Tasks      int
    AvgValue   float64
}

func createTables(db *sql.DB) {
    db.Exec("CREATE TABLE tasks (id INTEGER PRIMARY KEY, title TEXT, value INTEGER, category TEXT)")
}

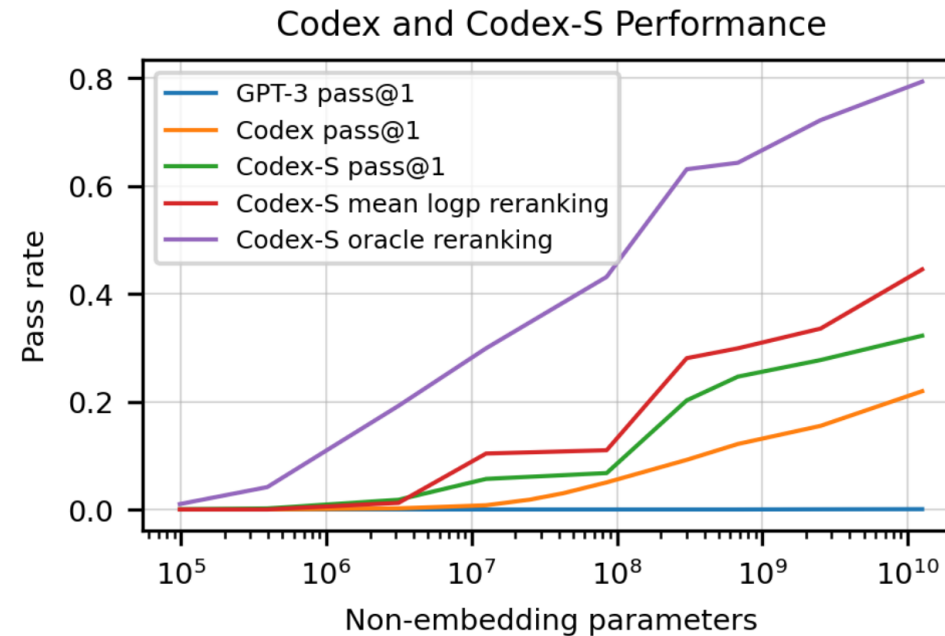
func createCategorySummaries(db *sql.DB) ([]CategorySummary, error) {
    var summaries []CategorySummary
    rows, err := db.Query("SELECT category, COUNT(category), AVG(value) FROM tasks GROUP BY category")
    if err != nil {
        return nil, err
    }
    defer rows.Close()

    for rows.Next() {
        var summary CategorySummary
        err := rows.Scan(&summary.Title, &summary.Tasks, &summary.AvgValue)
        if err != nil {
            return nil, err
        }
        summaries = append(summaries, summary)
    }
    return summaries, nil
}
```

# Pretraining Comes at a Price



Codex for Python training data: 54 million public software repositories hosted on GitHub, containing 179 GB of unique Python files. The model contains 12 billion parameters.



No access to this amount of data? Recall previously introduced models that exploits induction bias (structures, etc.).

# Recap: Workflow of a Semantic Parser

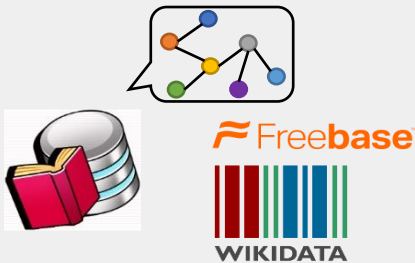
## User's Natural Language Query

*Show me flights from Pittsburgh to Seattle*

## Parsing to Meaning Representation

```
lambda $0 e (and (flight $0)
  (from $0 san_Francisco:ci)
  (to $0 seattle:ci))
```

## Query Execution



## Execution Results (Answer)

1. AS 119
2. AA 3544 -> AS 1101
3. ...