### CS11-711 Advanced NLP

### Adversarial Methods

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Site <a href="https://phontron.com/class/anlp2021/">https://phontron.com/class/anlp2021/</a>

### Adversarial Methods

- Basic idea: methods that have a model and an adversary that work in tandem to learn
- Generative adversarial networks: the *model* generates output (e.g. text) and the *adversary* attempts to detect generated text
- Adversarial feature learning: the model generates features and the adversary tries to distinguish features of different types
- Adversarial robustness: the adversary tries to perturb the input to cause the model to fail, and the model may be trained to be robust to these perturbations

### Generative Adversarial Networks

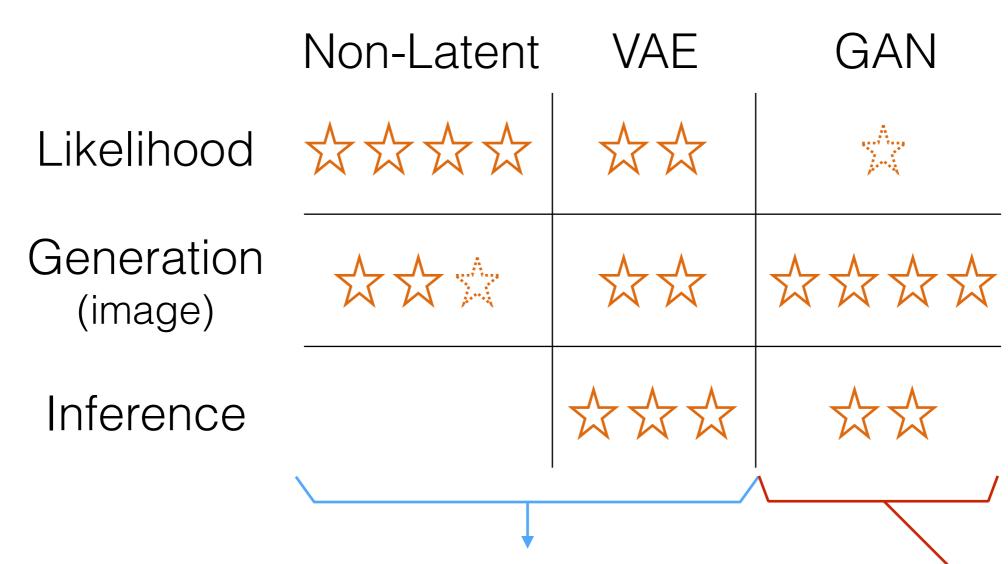
### Generative Models

- Model a data distribution P(X) or a conditional one P(X|Y)
- Latent variable models: introduce another variable Z, and model  $P(X) = \sum_{Z} P(X \mid Z)P(Z)$

## A "Perfect" Generative Model Can

- Evaluate likelihood: P(x)
  - e.g. Perplexity in language modeling
- Generate samples: x ~ P(X)
  - e.g. Generate a sentence randomly from P(X) or conditioned on some other information using P(X|Y)
- Infer latent attributes: P(Z|X)
  - e.g. Infer the "topic" of a sentence in topic models

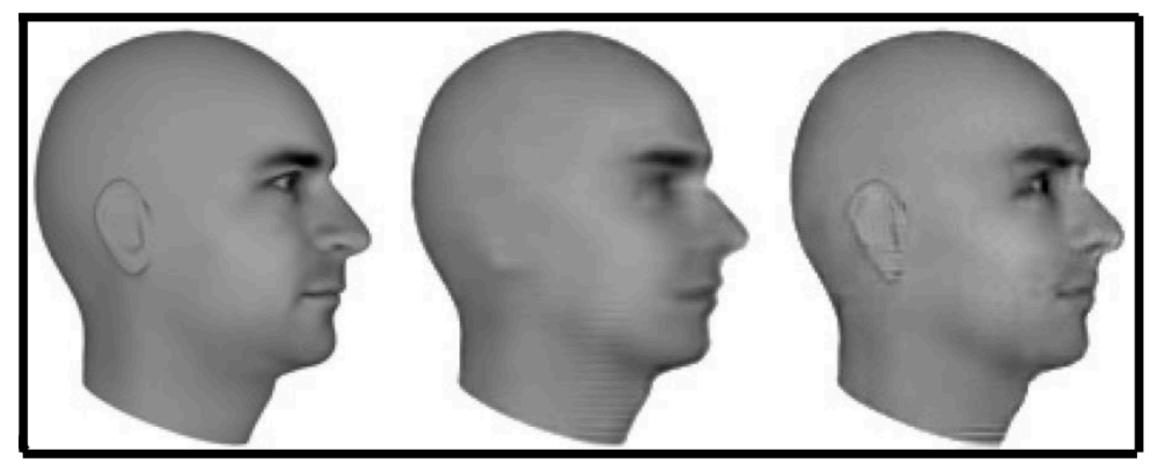
# No Generative Model is Perfect (so far)



- Mostly rely on MLE (Lower bound) based training
- GANs are particularly good at generating continuous samples

### MLE vs. GAN

Over-emphasis of common outputs, fuzziness
 Real MLE Adversarial



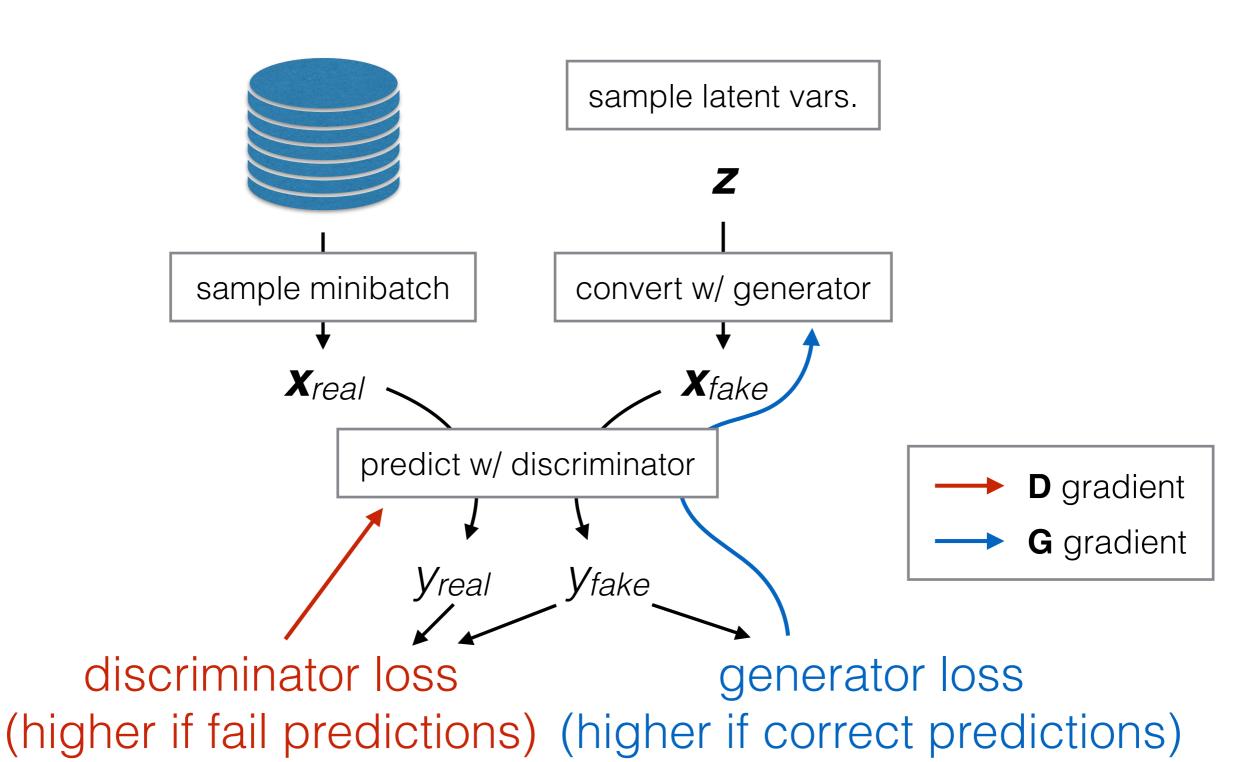
 Note: this is probably a good idea if you are doing maximum likelihood!

Image Credit: Lotter et al. 2015

### Basic Paradigm

- Two models: generator and discriminator
  - Discriminator: given an image, try to tell whether it is real or not → P(image is real)
  - **Generator:** try to generate an image that fools the discriminator into answering "real"
- Desired result at convergence
  - Generator: generate perfect image
  - Discriminator: cannot tell the difference

## Training Method



### In Equations

**Discriminator** loss function:

• **Discriminator** loss function: 
$$\ell_D(\theta_D, \theta_G) = -\frac{1}{2} \mathbb{E}_{\boldsymbol{x} \sim P_{data}} \log D(\boldsymbol{x}) - \frac{1}{2} \mathbb{E}_{\boldsymbol{z}} \log (1 - D(G(\boldsymbol{z})))$$
Predict real for real data Predict fake for fake data

- **Generator** loss function:
  - Make generated data "less fake" → Zero sum loss:

$$\ell_G(\theta_D, \theta_G) = -\ell_D(\theta_D, \theta_G)$$

Make generated data "more real" → Heuristic non-saturating loss:

$$\ell_G(\theta_D, \theta_G) = -\frac{1}{2} \mathbb{E}_{\boldsymbol{z}} \log D(G(\boldsymbol{z}))$$

Latter gives better gradients when discriminator accurate

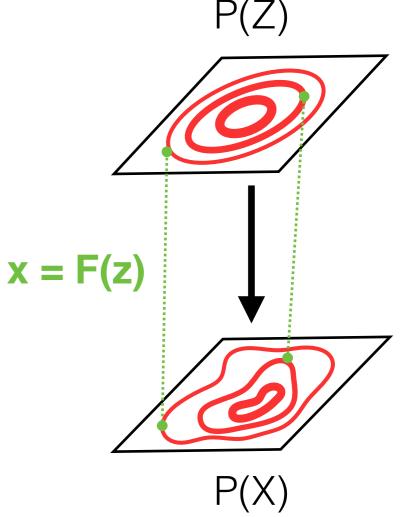
# Interpretation: Distribution Matching

#### **Process**

- [Step1]  $Z \sim P(Z)$ , P(Z) can be any distribution
- [Step2] X = F(Z), F is a **deterministic** function

#### Result

- X is a random variable with an implicit distribution
   P(X), which decided by both P(Z) and F
- The process can produce any complicated distribution P(X) with a reasonable P(Z) and a powerful enough F



### In Pseudo-Code

- x<sub>real</sub> ~ Training data
- $z \sim P(Z)$

 $\rightarrow$  Normal(0, 1) or Uniform(-1, 1)

- $X_{fake} = \mathbf{G}(Z)$
- $y_{real} = \mathbf{D}(x_{real})$

 $\rightarrow$  P(x<sub>real</sub> is real)

•  $y_{fake} = \mathbf{D}(x_{fake})$ 

- $\rightarrow$  P(x<sub>fake</sub> is real)
- Train **D**: min<sub>D</sub> log y<sub>real</sub> log (1 y<sub>fake</sub>)
- Train **G**:  $min_G$   $log y_{fake} \rightarrow non-saturating loss$

## Why are GANs good?

- Discriminator is a "learned metric"
   parameterized by powerful neural networks
- Can easily pick up any kind of discrepancy, e.g. blurriness, global inconsistency
- Generator has fine-grained (gradient) signals to inform it what and how to improve

### Problems in GAN Training

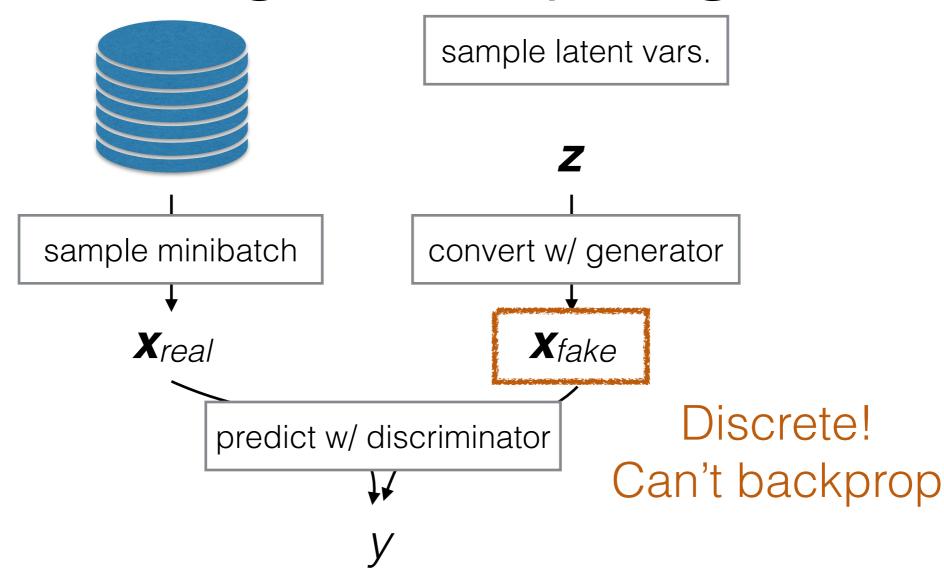
- GANs are great, but training is notoriously difficult
- Known problems
  - Convergence & Stability:
    - WGAN (Arjovsky et al., 2017)
    - Gradient-Based Regularization (Roth et al., 2017)
  - Mode collapse/dropping:
    - Mini-batch Discrimination (Salimans et al. 2016)
    - Unrolled GAN (Metz et al. 2016)
  - Overconfident discriminator:
    - One-side label smoothing (Salimans et al. 2016)

### Applying GANs to Text

# Applications of GAN Objectives to Language

- GANs for Language Generation (Yu et al. 2017)
- GANs for MT (Yang et al. 2017, Wu et al. 2017, Gu et al. 2017)
- GANs for Dialogue Generation (Li et al. 2016)

# Problem! Can't Backprop through Sampling

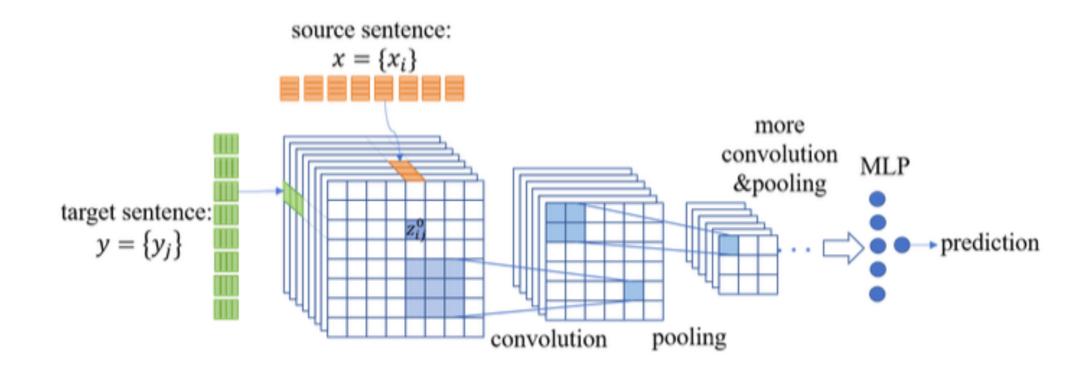


## Solution: Use Learning Methods for Latent Variables

- Policy gradient reinforcement learning methods (e.g. Yu et al. 2016)
- Reparameterization trick for latent variables using Gumbel softmax (Gu et al. 2017)

# Discriminators for Sequences

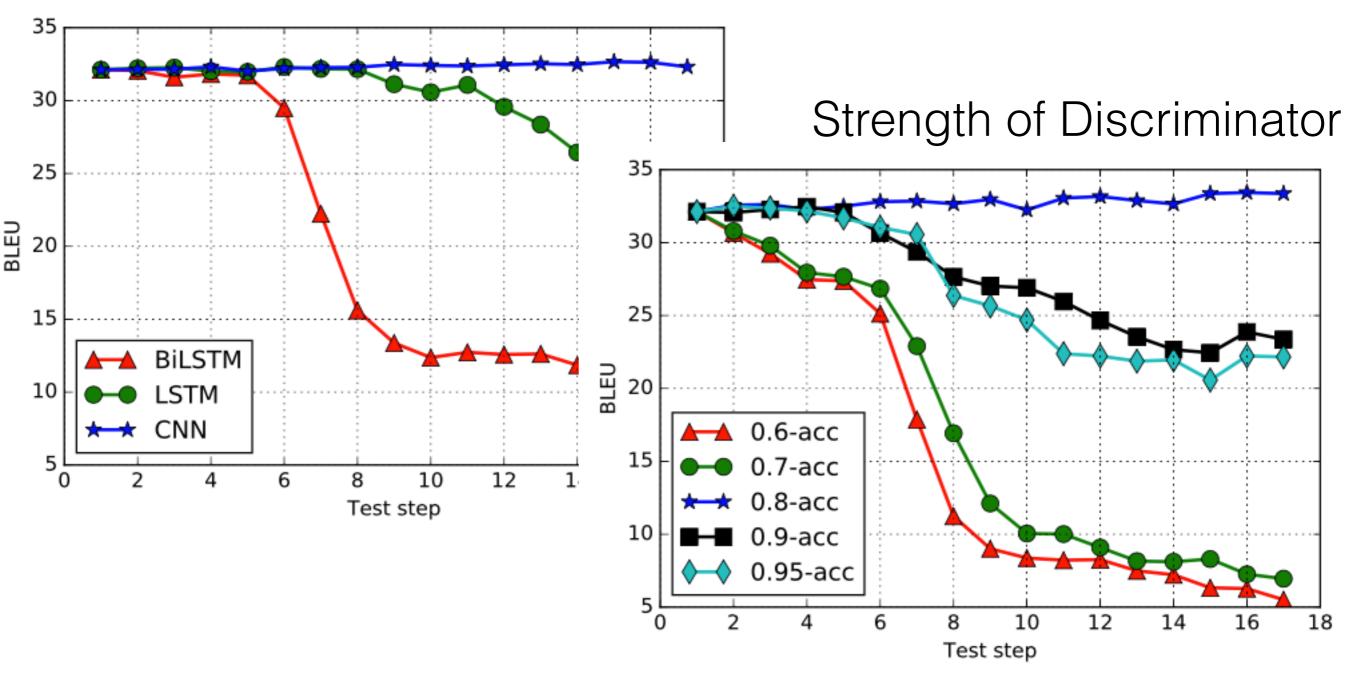
- Decide whether a particular generated output is true or not
- Commonly use CNNs as discriminators, either on sentences (e.g. Yu et al. 2017), or pairs of sentences (e.g. Wu et al. 2017)



### GANs for Text are Hard!

(Yang et al. 2017)

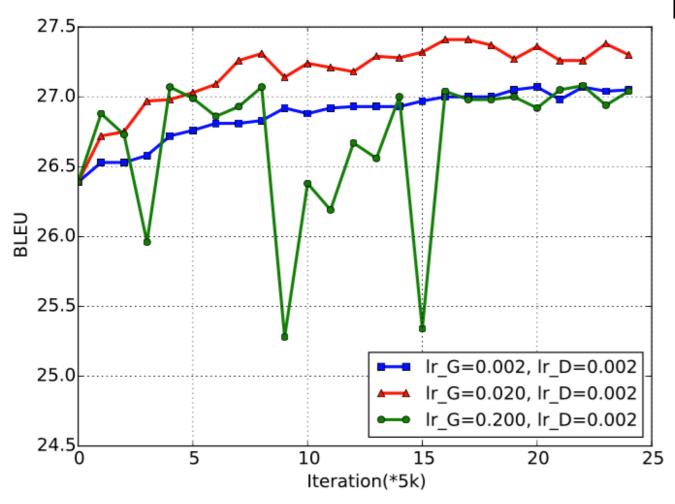
Type of Discriminator



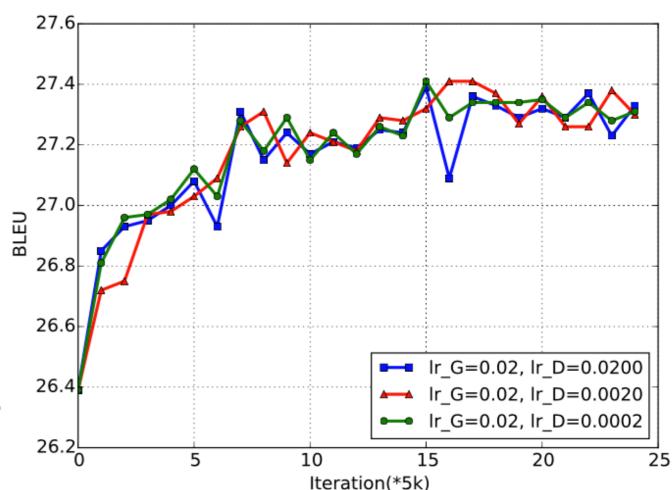
### GANs for Text are Hard!

(Wu et al. 2017)

Learning Rate for Generator



Learning Rate for Discriminator



## Stabilization Trick: Assigning Reward to Specific Actions

- Getting a reward at the end of the sentence gives a credit assignment problem
- Solution: assign reward for partial sequences (Yu et al. 2016, Li et al. 2017)

D(this)

D(this is)

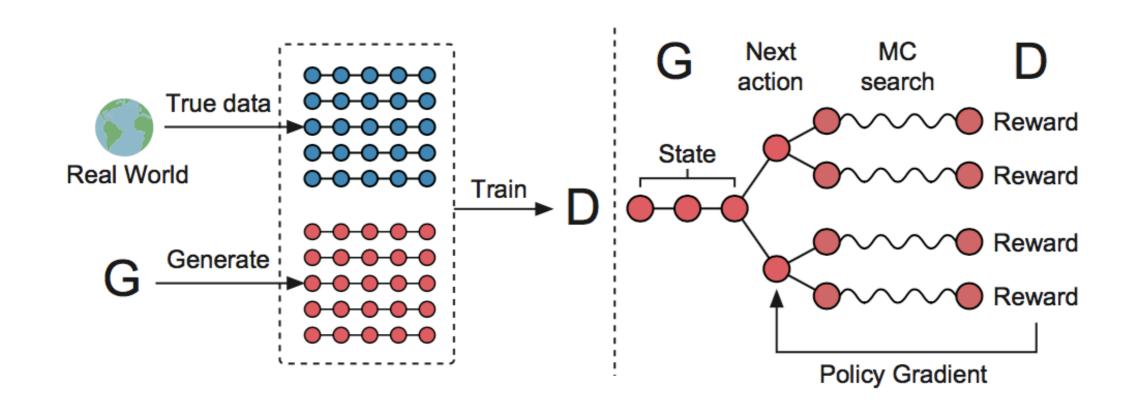
D(this is a)

D(this is a fake)

D(this is a fake sentence)

### Stabilization Tricks: Performing Multiple Rollouts

- Like other methods using discrete samples, instability is a problem
- This can be helped somewhat by doing multiple rollouts (Yu et al. 2016)



## Discrimination over Softmax Results (Hu et al. 2017)

- Attempt to generate outputs with a specific trait (e.g. tense, sentiment)
- Discriminator over the softmax results

$$x \longrightarrow h \longrightarrow P(y) \longrightarrow y$$
Adversary!

### Adversarial Feature Learning

# Adversaries over Features vs. Over Outputs

Generative adversarial networks

$$x \longrightarrow h \longrightarrow y$$
 Adversary!

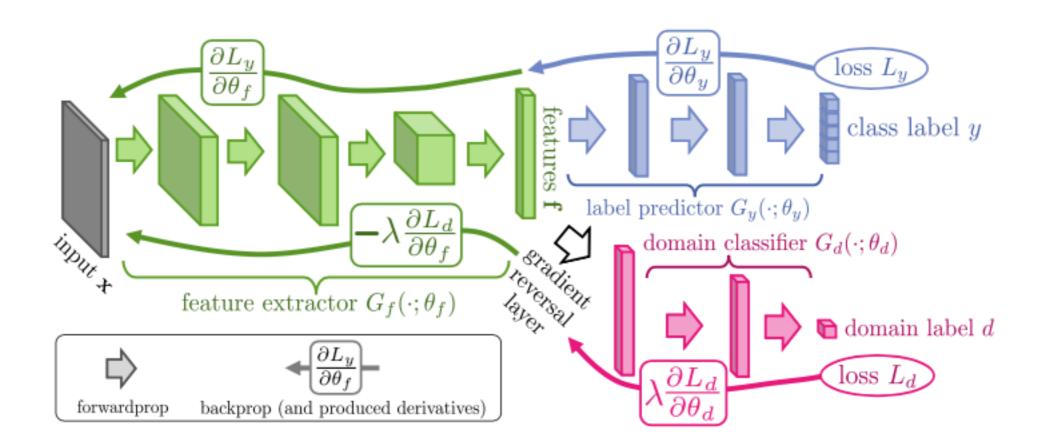
Adversarial feature learning

$$x \longrightarrow h \longrightarrow y$$
Adversary

- Why adversaries over features?
  - Non-generative tasks
  - Continuous features easier than discrete outputs

### Learning Domain-invariant Representations (Ganin et al. 2016)

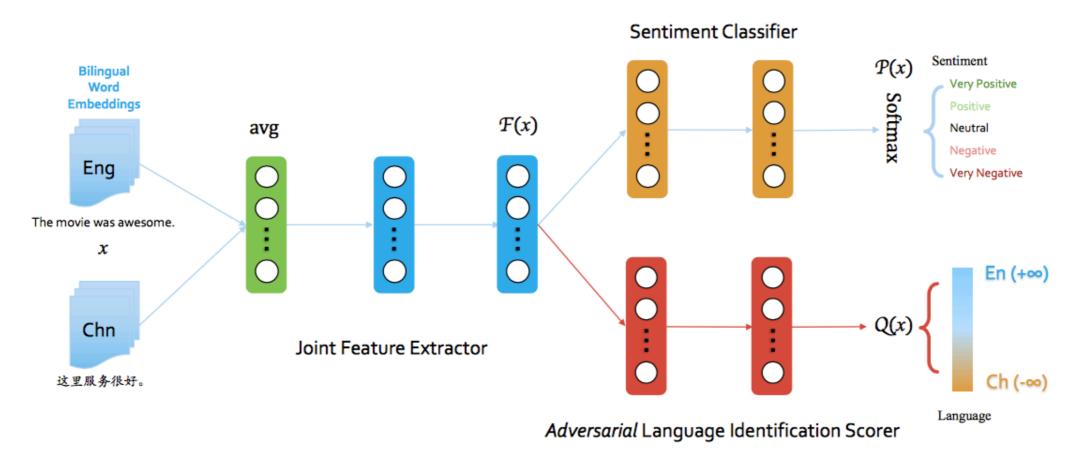
Learn features that cannot be distinguished by domain



 Interesting application to synthetically generated or stale data (Kim et al. 2017)

### Learning Languageinvariant Representations

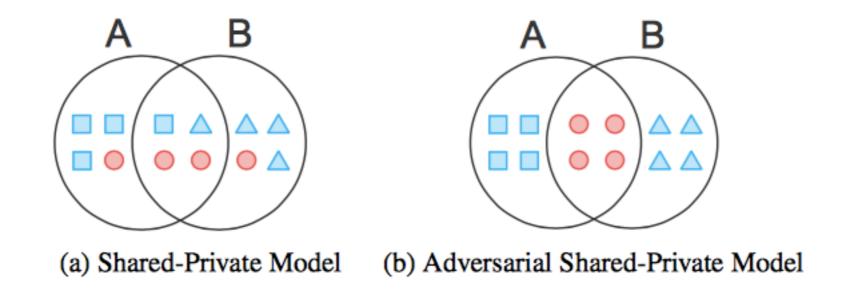
 Chen et al. (2016) learn language-invariant representations for text classification



Also on multi-lingual machine translation (Xie et al. 2017)

### Adversarial Multi-task Learning (Liu et al. 2017)

 Basic idea: want some features in a shared space across tasks, others separate



 Method: adversarial discriminator on shared features, orthogonality constraints on separate features

### Professor Forcing

(Lamb et al. 2016)

- Halfway in between a discriminator on discrete outputs and feature learning
  - Generate output sequence according to model
  - But train discriminator on hidden states

(sampled or true output sequence)

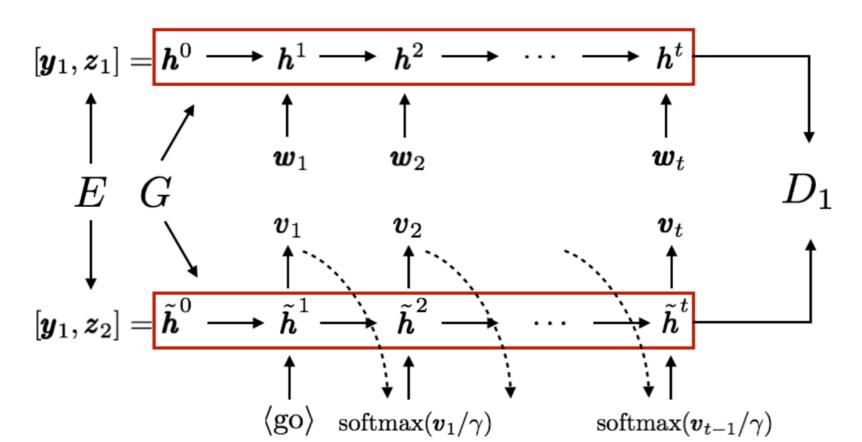
**x** 
$$\longrightarrow$$
 **h**  $\longrightarrow$  **y**

Adversary!

# Unsupervised Distribution Matching

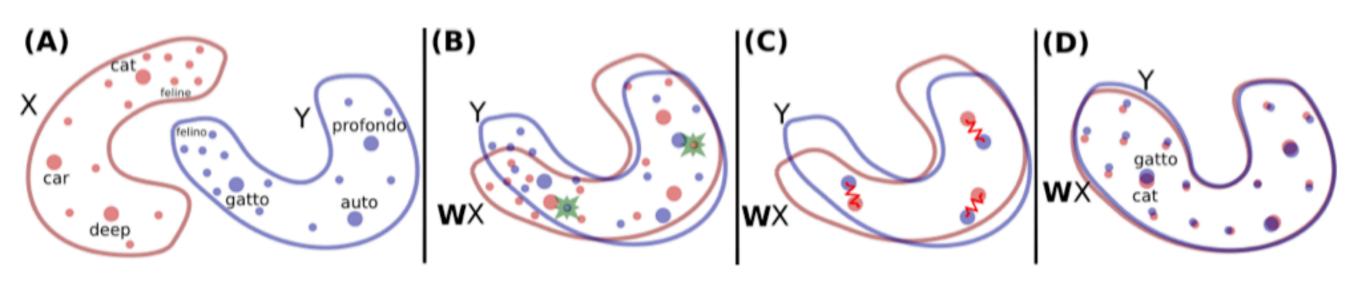
## Unsupervised Style Transfer for Text (Shen et al. 2017)

- Task: transfer sentences with one style to another style
  - Decipherment: Translate ciphered sentences to natural sentences
  - Transfer sentences with positive sentiment to negative sentiment.
  - Word reordering
- Impressive performance on decipherment



## Unsupervised Alignment of Word Embeddings (Lample et al. 2018)

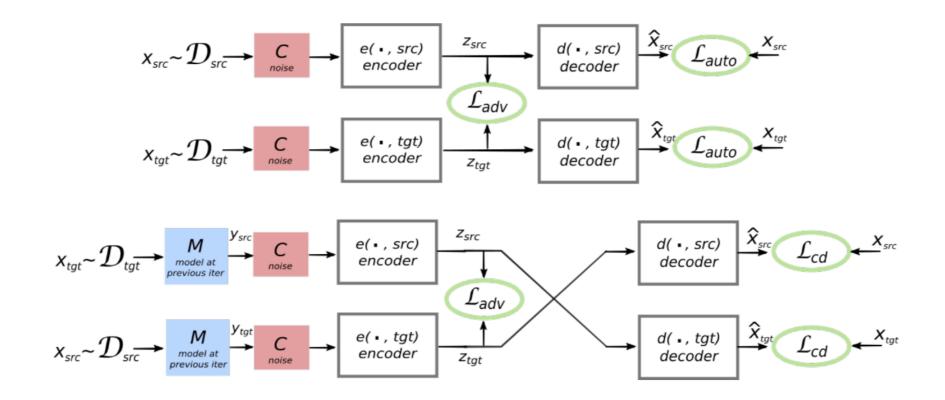
- We have two word embedding spaces (A) in different languages
- Define a function (e.g. orthogonal transform) to map between the spaces
- Use adversarial loss to try to align (B), further find closest words
   (C), use supervised objective (D)



### Unsupervised Machine Translation

(Lample et al. 2017, Artetxe et al. 2017)

- Cycle consistency (dual learning) (He et al. 2016, Zhu et al. 2017)
- Employing denoising auto-encoder to refine translated sentence



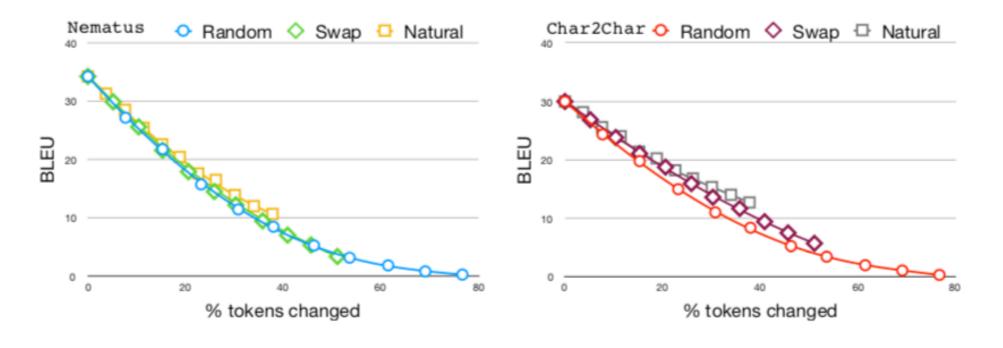
### Adversarial Robustness

### Problem!

## Networks Sensitive to Small Perturbations (e.g. Belinkov et al. 2018)

Table 4: An example noisy text with human and machine translations.

Input	Luat eienr Stduie der Cambrdige Unievrstit speilt es kenie Rlloe in welcehr Reiehnfogle die Buhcstbaen in eniem Wrot vorkmomen, die eingzie whotige Sahce ist, dsas der ertse und der lettze Buhcstbaen stmimt.
Human	According to a study from Cambridge university, it doesn't matter which order letters in a word are, the only important thing is that the first and the last letter appear in their correct place.
char2char	Cambridge Universtte is one of the most important features of the Cambridge Universtten, which is one of the most important features of the Cambridge Universtten.
Nematus	Luat eienr Stduie der Cambrant Unievrstilt splashed it kenie Rlloe in welcehr Reiehnfogle the Buhcstbaen in eniem Wred vorkmomen, die eingzie wheene Sahe ist, DSAs der ertse und der lettze Buhcstbaen stmimt.
charCNN	According to the $<$ unk $>$ of the Cambridge University , it 's a little bit of crude oil in a little bit of recycling , which is a little bit of a cool cap , which is a little bit of a strong cap , that the fat and the $<$ unk $>$ bites is consistent .



### Adversarial Noise: Noise Specifically Designed to Break Systems

- Relatively simple to perform attacks on image classification systems: calculate gradient to maximize loss
- More difficult for text because input is discrete, but still some success (e.g. Ebrahimi et al. 2018)

src	1901 wurde eine Frau namens Auguste in eine medizinische Anstalt in Frankfurt gebracht.
adv	1901 wurde eine Frau namens Afuiguste in eine medizinische Anstalt in Frankfurt gebracht.
src-output	In 1931, a woman named Augustine was brought into a medical institution in France.
adv-output	In 1931, a woman named Rutgers was brought into a medical institution in France.
src	Das ist Dr. Bob Childs – er ist Geigenbauer und Psychotherapeut.
adv	Das ist Dr. Bob Childs – er ist Geigenbauer und Psy6hothearpeiut.
src-output	This is Dr. Bob Childs – he's a wizard maker and a therapist's therapist.
adv-output	This is Dr. Bob Childs – he's a brick maker and a psychopath.

Table 1: Controlled and Targeted Attack on DE→EN NMT. In the first example, the adversary wants to suppress a person's name, and in the second example, to replace occurrences of therapist with psychopath

## What is an Adversarial Example? (Michel et al. 2019)

 It should be "meaning preserving" on the source side, and "meaning destroying" on the target side

$$1 - s_{src}(x, \hat{x}) < d_{tgt}(y, y_M, \hat{y}_M)$$

Source meaning destruction

**Target meaning destruction** 

$$d_{tgt}(y, y_M, \hat{y}_M) = \begin{cases} 0 \text{ if } s_{tgt}(y, \hat{y}_M) \ge s_{tgt}(y, y_M) \\ \frac{s_{tgt}(y, y_M) - s_{tgt}(y, \hat{y}_M)}{s_{tgt}(y, y_M)} \text{ otherwise} \end{cases}$$

Meaning defined by semantic similarity (whatever that means)

### Adversarial Training

- We'd like to train our models to be robust to attacks!
- Simplest idea: sample adversarial examples at training time and make sure that they are also classified correctly
- Lots of theory, but little for NLP tasks
   https://adversarial-ml-tutorial.org

### Questions?